

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Ching et al  
Serial No.: 10/582,048  
Filed : June 7, 2006  
Title : CATIONIC OLIGOMER OF A SACCHARIDE FOR RESOLVING  
ENANTIOMERS AND ASYMMETRIC SYNTHESIS

Art Unit : N/A  
Examiner : N/A

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN  
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Dear Sir:

In response to the Notification of Missing Requirements mailed on February 13, 2007,  
the following documents listed below are submitted herewith. If a Petition for Extension of Time  
is needed, this paper is to be considered such Petition.

- ☒ A copy of the Notification of Missing Requirements Under 35 U.S.C. 371 In  
the United States Designated/Elected Office (DO/EO/US);
- ☒ An executed Declaration for Patent Application;
- ☒ The Commissioner is hereby authorized to charge any fees that may be due in connection with this  
paper or with this application during its entire pendency to Deposit Account No. 06-1050. A  
duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman  
Reg. No. 33,779

Attorney Docket No. 08625-006US1/2506US  
**Address all correspondence to:**  
Stephanie Seidman  
Fish & Richardson P.C.  
12390 El Camino Real  
San Diego, California 92130  
Telephone: (858) 678-5070  
Facsimile: (202) 626-7796  
email: seidman@fr.com

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**  
"Express Mail" Mailing Label Number EV 740119753 US  
Date of Deposit: **March 6, 2007**

I hereby certify that this paper is being deposited with the  
United States Postal "Express Mail Post Office to Addressee"  
Service under 37 CFR §1.10 on the date indicated above and  
is addressed to: Mail Stop Amendment, Commissioner for  
Patents, U.S. Patent and Trademark Office, P.O. Box 1450,  
Alexandria, VA, 22313-1450.

Stephanie Seidman



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/582,048	Chi Bun Ching	08625-004US1 / 2504US

INTERNATIONAL APPLICATION NO.

PCT/SG04/00413

I.A. FILING DATE

PRIORITY DATE

12/15/2004

12/15/2003

20985  
 FISH & RICHARDSON, PC  
 P.O. BOX 1022  
 MINNEAPOLIS, MN 55440-1022

CONFIRMATION NO. 1604

371 FORMALITIES LETTER



\*OC000000022463152\*

Date Mailed: 02/13/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 06/07/2006
- Copy of the International Search Report filed on 06/07/2006
- Preliminary Amendments filed on 06/07/2006
- Information Disclosure Statements filed on 06/07/2006
- Small Entity Statement filed on 06/07/2006
- U.S. Basic National Fees filed on 06/07/2006
- Priority Documents filed on 06/07/2006
- Specification filed on 06/07/2006
- Claims filed on 06/07/2006
- Abstracts filed on 06/07/2006
- Drawings filed on 06/07/2006

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO
10/582,048	PCT/SG04/00413	08625-004US1 / 2504US

FORM PCT/DO/EO/905 (371 Formalities Notice)